

Provable Compositional Generalization for
Object-Centric Learning

Talk by Alexander (Sasha) Panfilov
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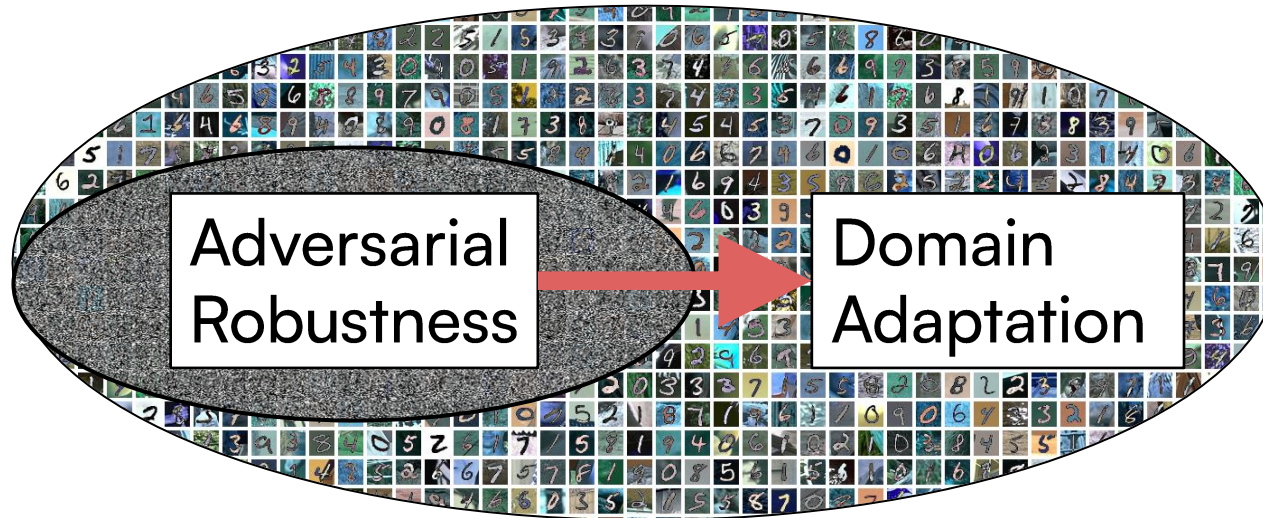
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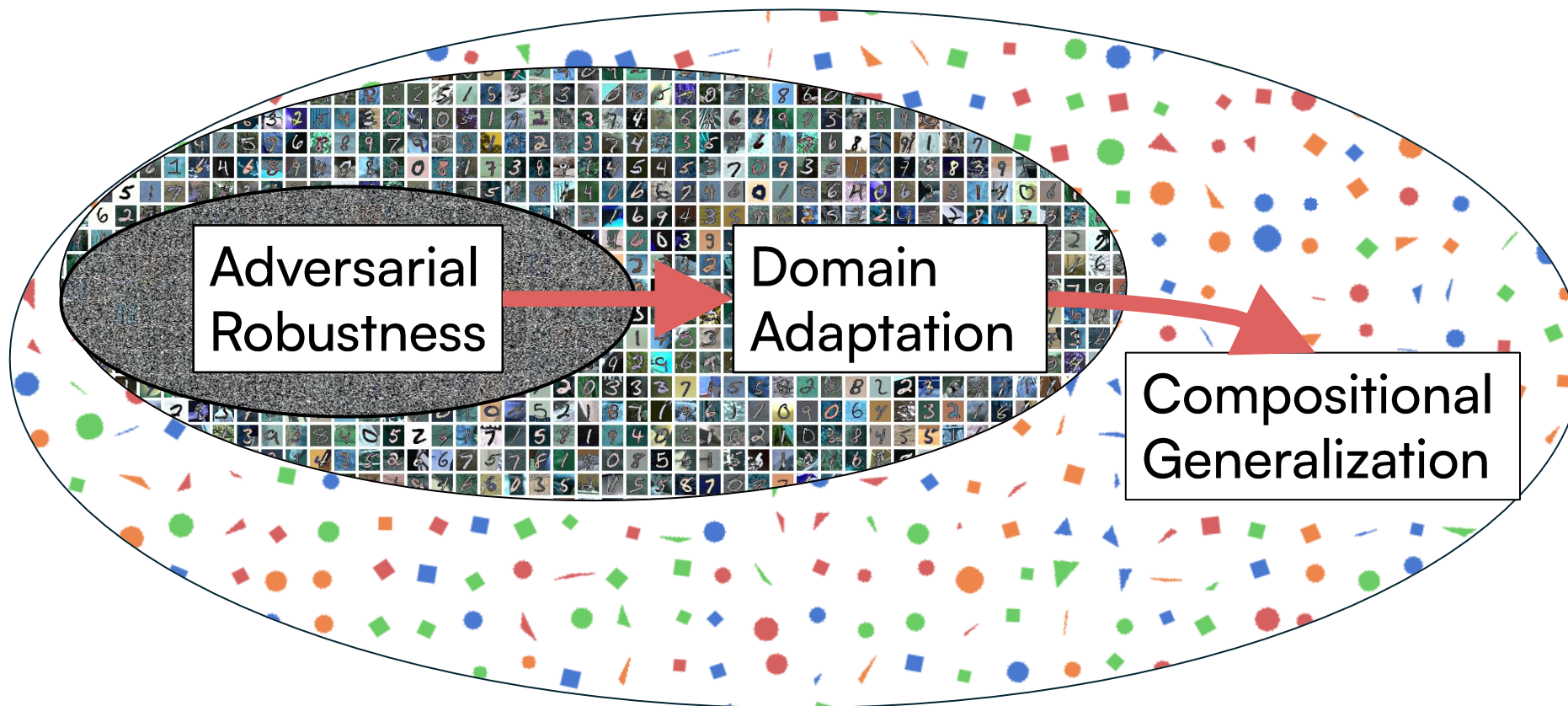


Adversarial
Robustness

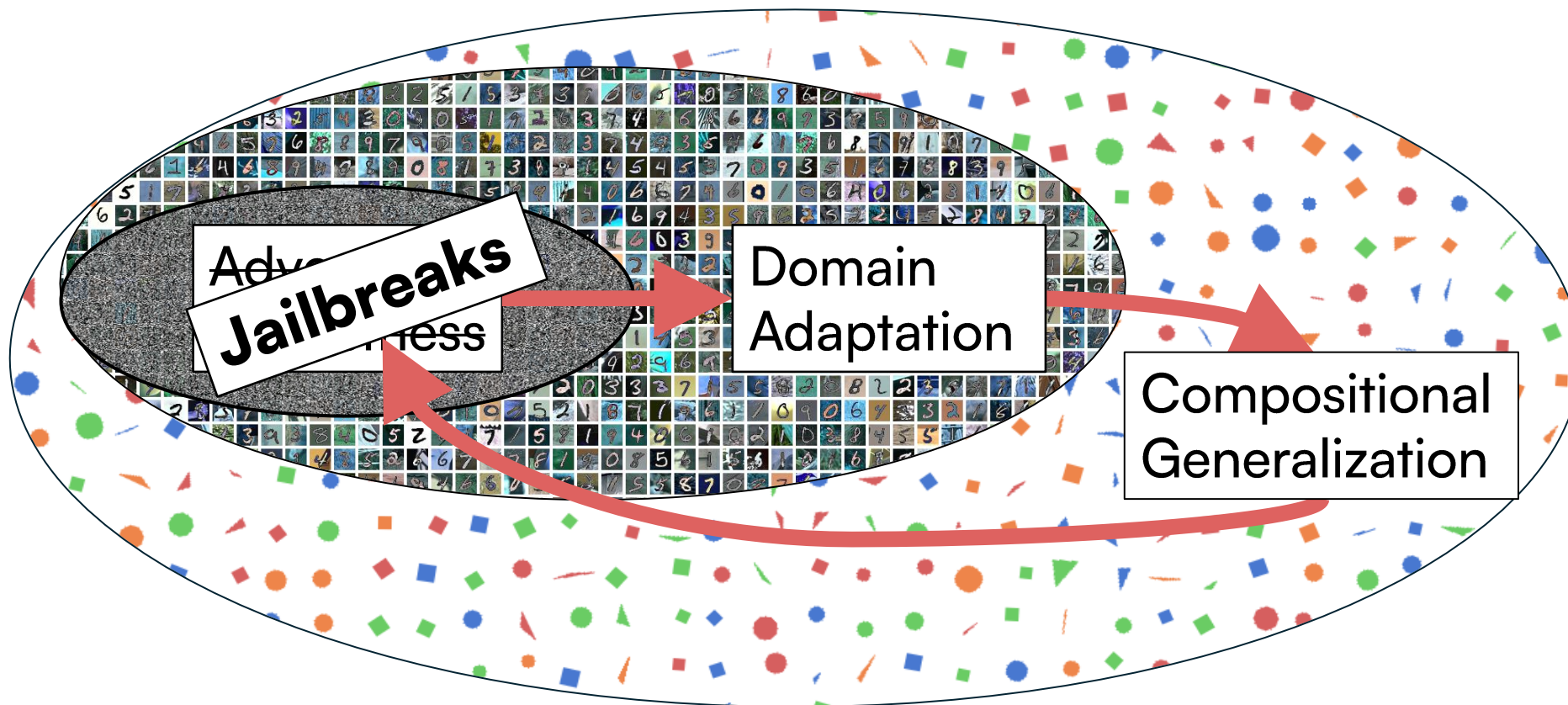
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Background

On the Binding Problem in Artificial Neural Networks

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Abstract

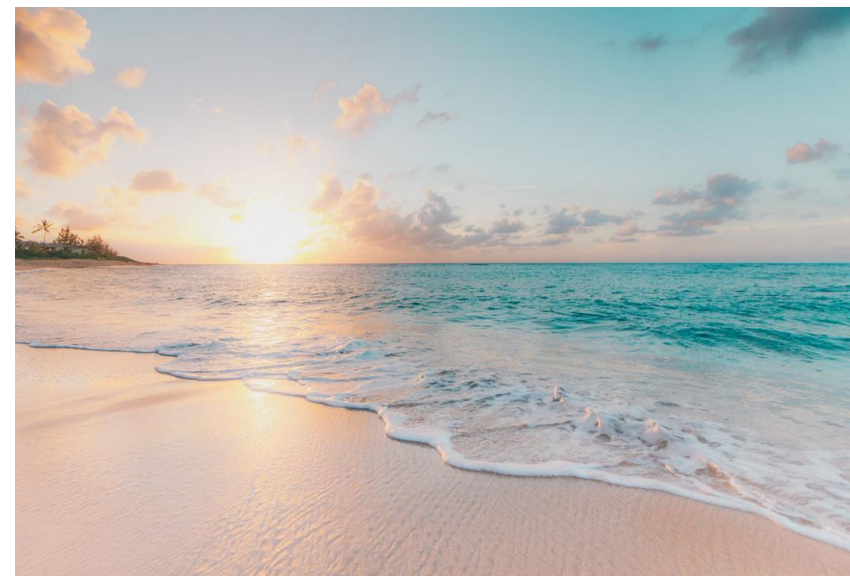
Contemporary neural networks still fall short of human-level generalization, which extends far beyond our direct experiences. In this paper, we argue that the underlying cause for this shortcoming is their inability to dynamically and flexibly bind information that is distributed throughout the network. This *binding problem* affects their capacity to acquire a compositional understanding of the world in terms of symbol-like entities (like objects), which is crucial for generalizing in predictable and systematic ways. To address this issue, we propose a unifying framework that revolves around forming meaningful entities from unstructured sensory inputs (segregation), maintaining this separation of information at a representational level (representation), and using these entities to construct new inferences, predictions, and behaviors (composition). Our analysis draws inspiration from a wealth of research in neuroscience and cognitive psychology, and surveys relevant mechanisms from the machine learning literature, to help identify a combination of inductive biases that allow symbolic information processing to emerge naturally in neural networks. We believe that a compositional approach to AI, in terms of grounded symbol-like representations, is of fundamental importance for realizing human-level generalization, and we hope that this paper may contribute towards that goal as a reference and inspiration.

The Binding Problem

- NNs fall short on OOD, where humans don't

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- One explanation — NNs learn surface statistics, not underlying concepts



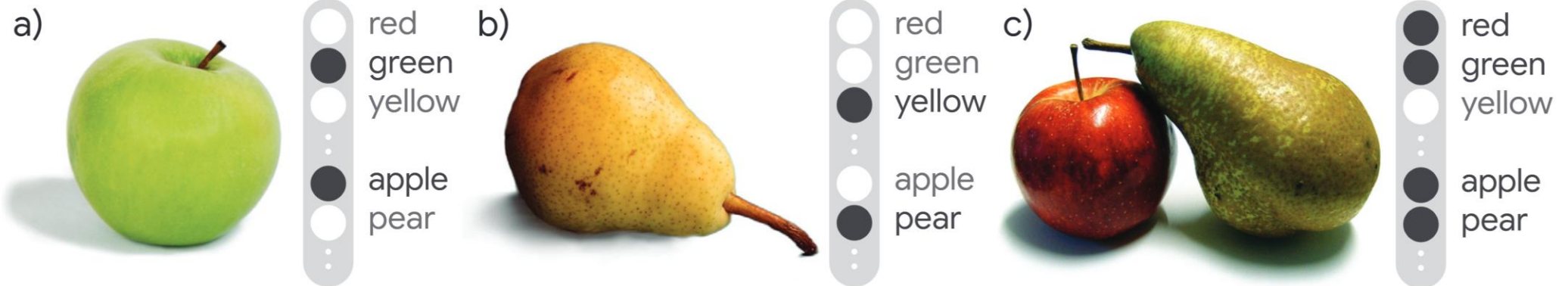
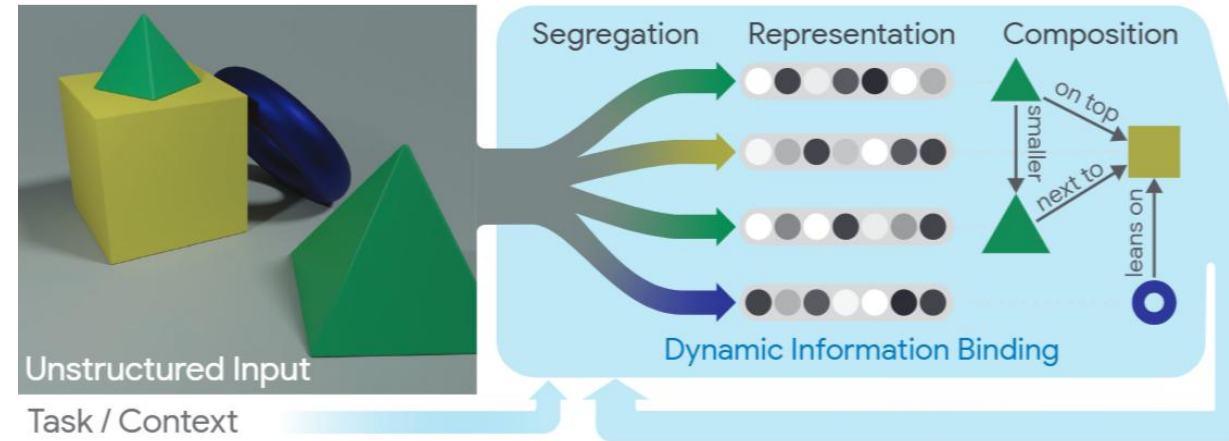
The Binding Problem

- NNs fall short on OOD, where humans don't
- One explanation — NNs learn surface statistics, not underlying concepts
- Toddlers/monkeys/cats exhibit symbolic or object reasoning

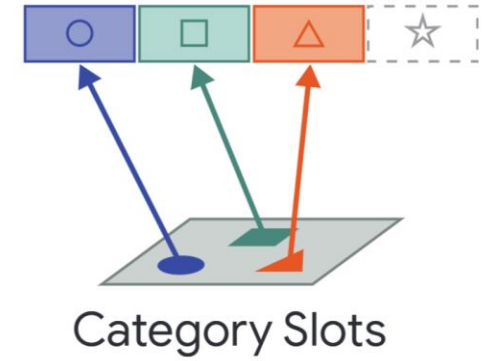
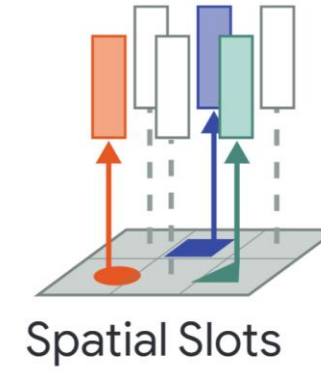
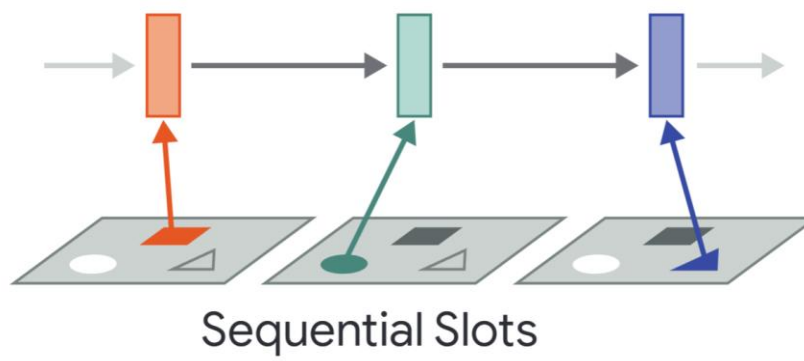
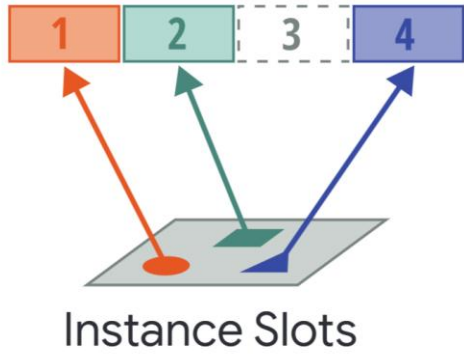


The Binding Problem

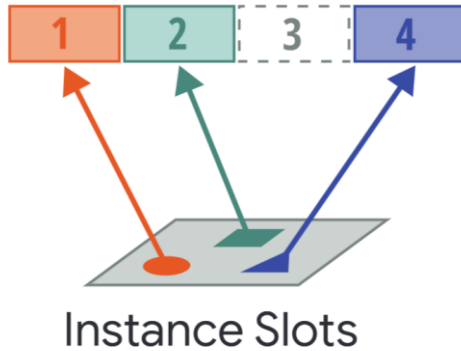
- Object-level abstractions are self-contained
- Idea: add an implicit bias to learn object level abstractions



Slots

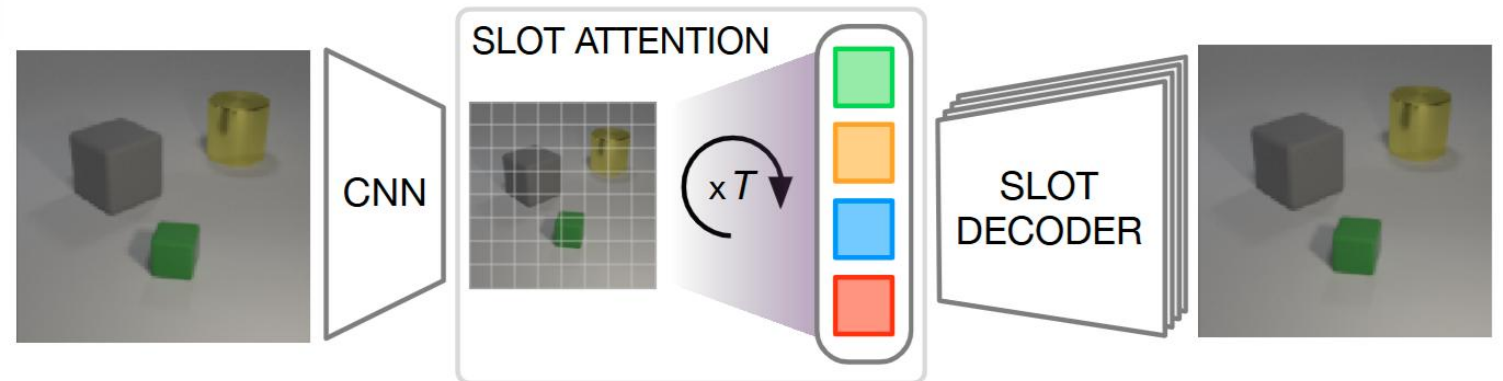


Slots

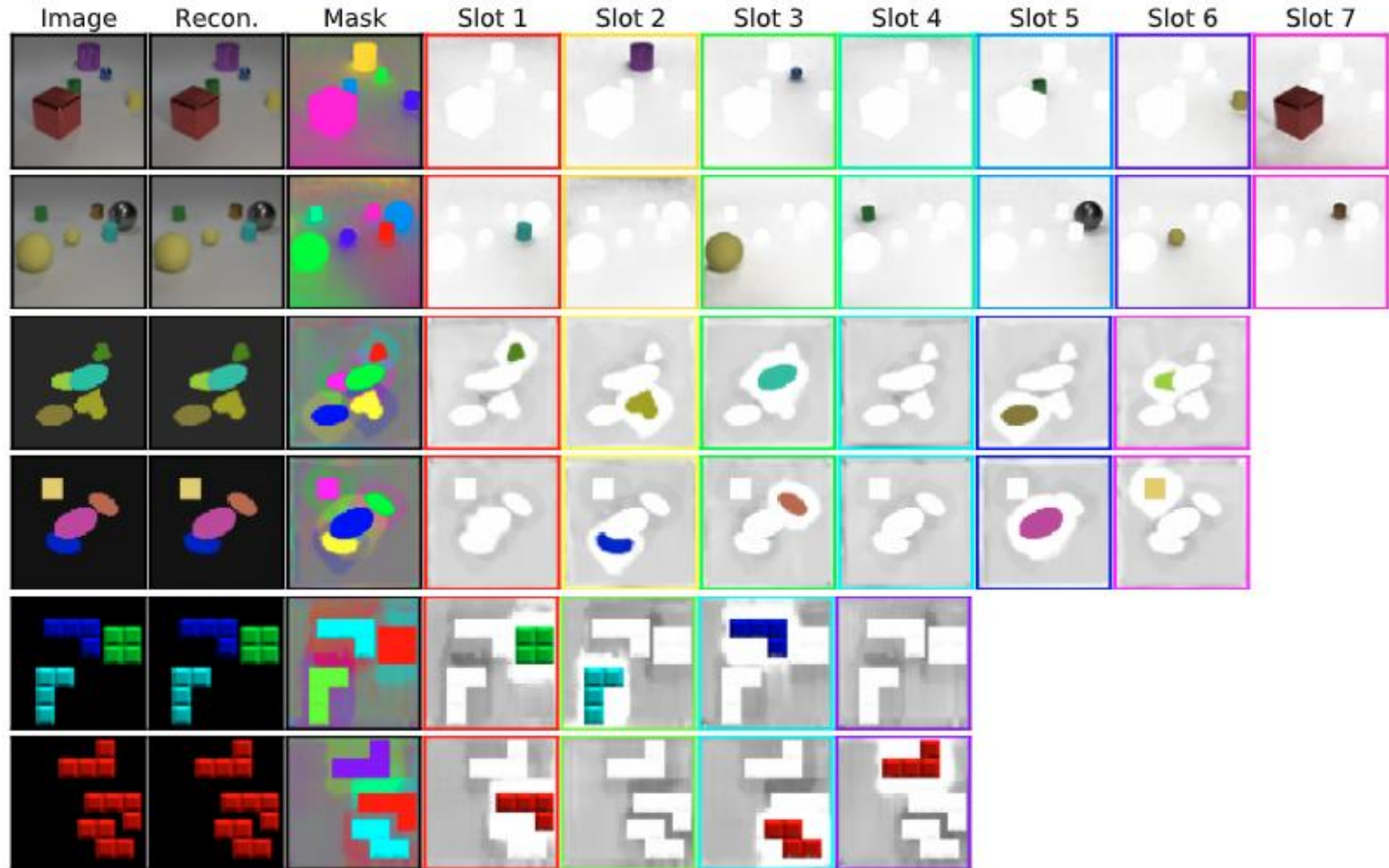


Architectures:

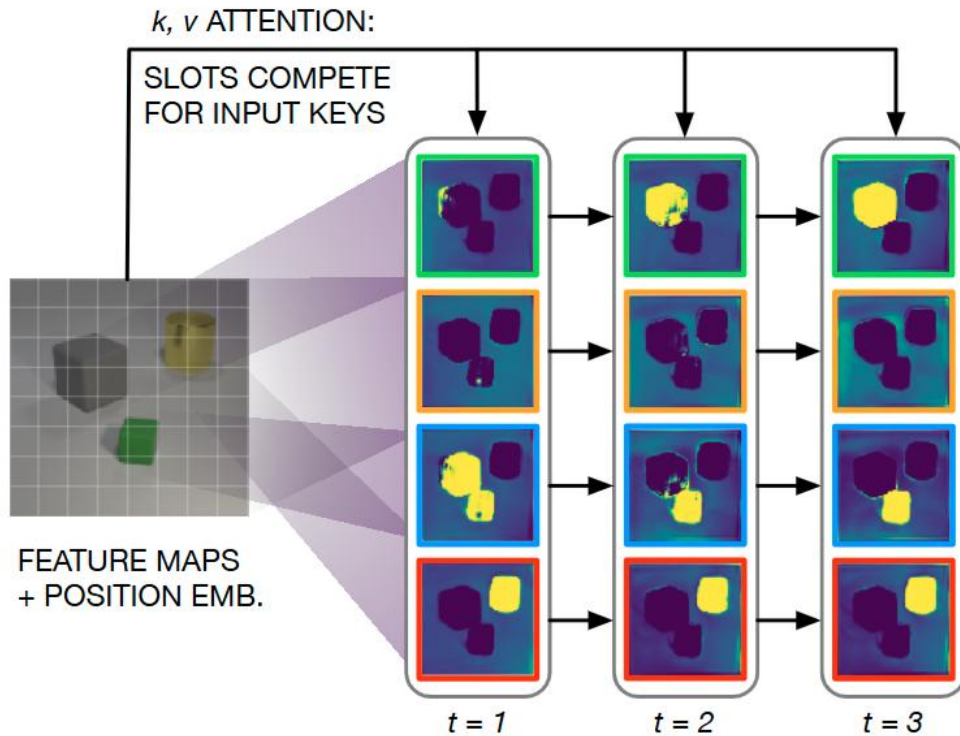
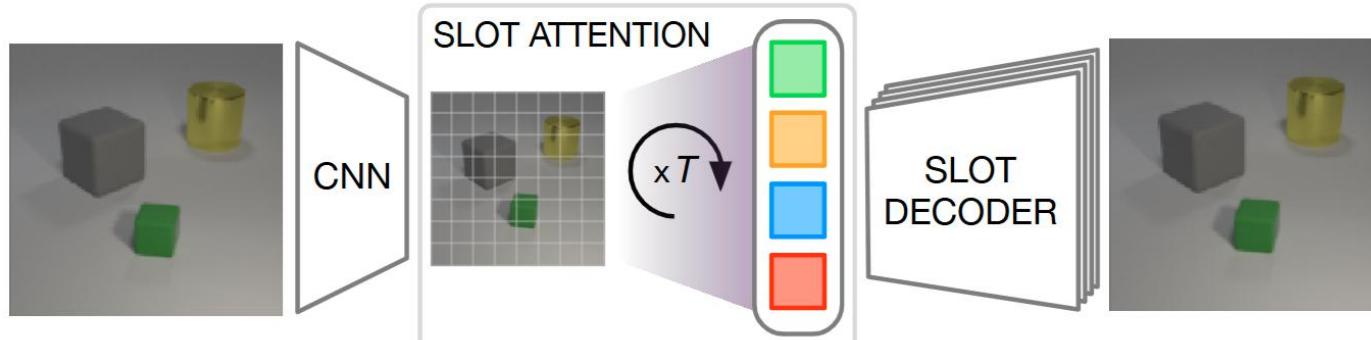
- **MONet**
(Burgess, Christopher P., et al, 2019)
- **GENESIS**
(Engelcke, Martin, et al, 2019)
- **SlotAttention**
(Locatello, Francesco, et al., 2020)
- ...



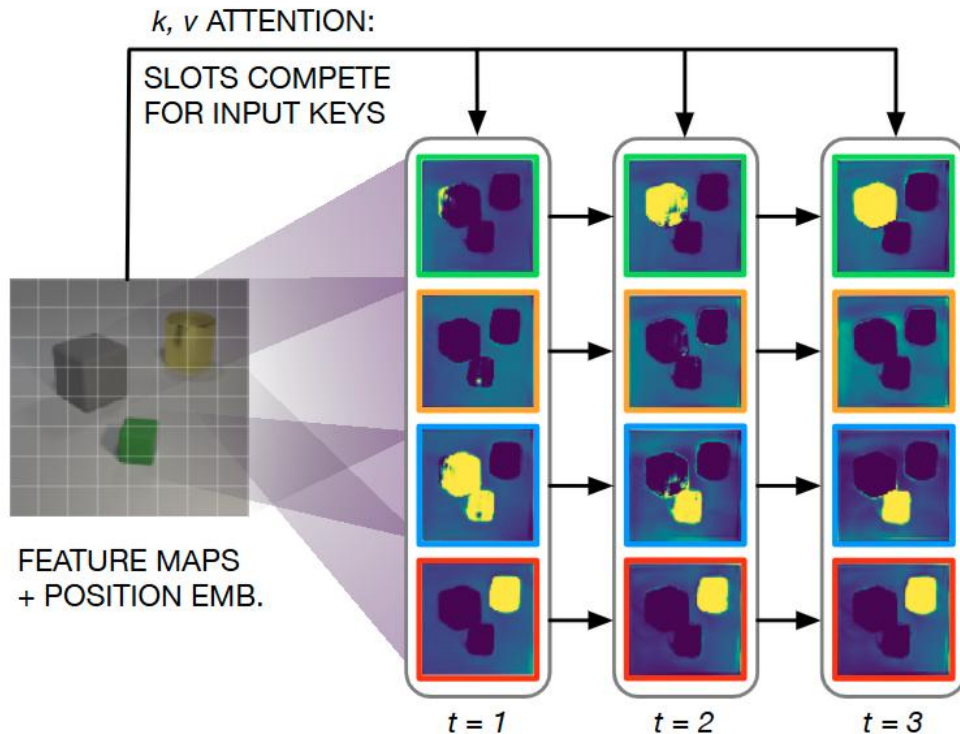
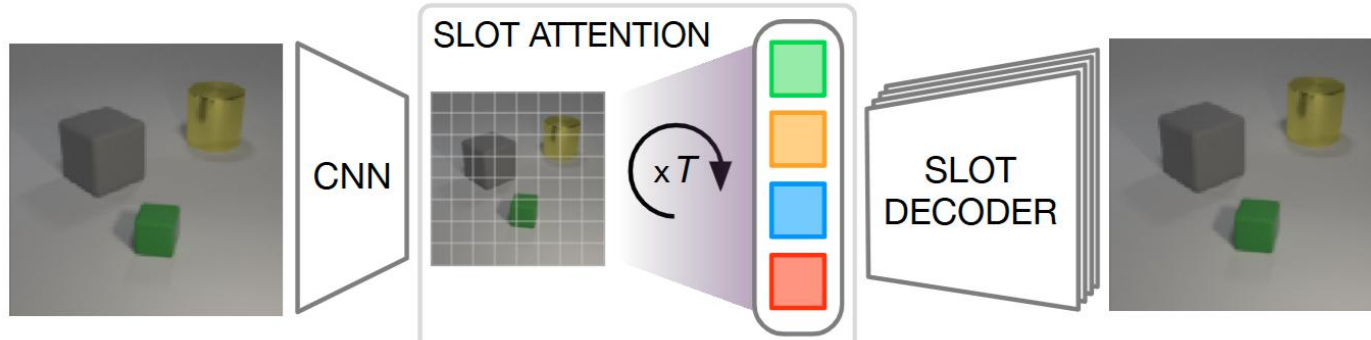
Slots



SlotAttention (Locatello, Francesco, et al., 2020)



SlotAttention (Locatello, Francesco, et al., 2020)



Algorithm 1 Slot Attention module. The input is a set of N vectors of dimension D_{inputs} which is mapped to a set of K slots of dimension D_{slots} . We initialize the slots by sampling their initial values as independent samples from a Gaussian distribution with shared, learnable parameters $\mu \in \mathbb{R}^{D_{\text{slots}}}$ and $\sigma \in \mathbb{R}^{D_{\text{slots}}}$. In our experiments we set the number of iterations to $T = 3$.

- 1: **Input:** $\text{inputs} \in \mathbb{R}^{N \times D_{\text{inputs}}}$, $\text{slots} \sim \mathcal{N}(\mu, \text{diag}(\sigma)) \in \mathbb{R}^{K \times D_{\text{slots}}}$
- 2: **Layer params:** k, q, v : linear projections for attention; GRU; MLP; LayerNorm(x3)
- 3: $\text{inputs} = \text{LayerNorm}(\text{inputs})$
- 4: **for** $t = 0 \dots T$
- 5: $\text{slots}_{\text{prev}} = \text{slots}$
- 6: $\text{slots} = \text{LayerNorm}(\text{slots})$
- 7: $\text{attn} = \text{Softmax}(\frac{1}{\sqrt{D}} k(\text{inputs}) \cdot q(\text{slots})^T, \text{axis}='slots')$ # norm. over slots
- 8: $\text{updates} = \text{WeightedMean}(\text{weights}=\text{attn} + \epsilon, \text{values}=v(\text{inputs}))$ # aggregate
- 9: $\text{slots} = \text{GRU}(\text{state}=\text{slots}_{\text{prev}}, \text{inputs}=\text{updates})$ # GRU update (per slot)
- 10: $\text{slots} += \text{MLP}(\text{LayerNorm}(\text{slots}))$ # optional residual MLP (per slot)
- 11: **return slots**

Some examples: **DINOSAUR** (Seitzer, Maximilian, et al, 2022)

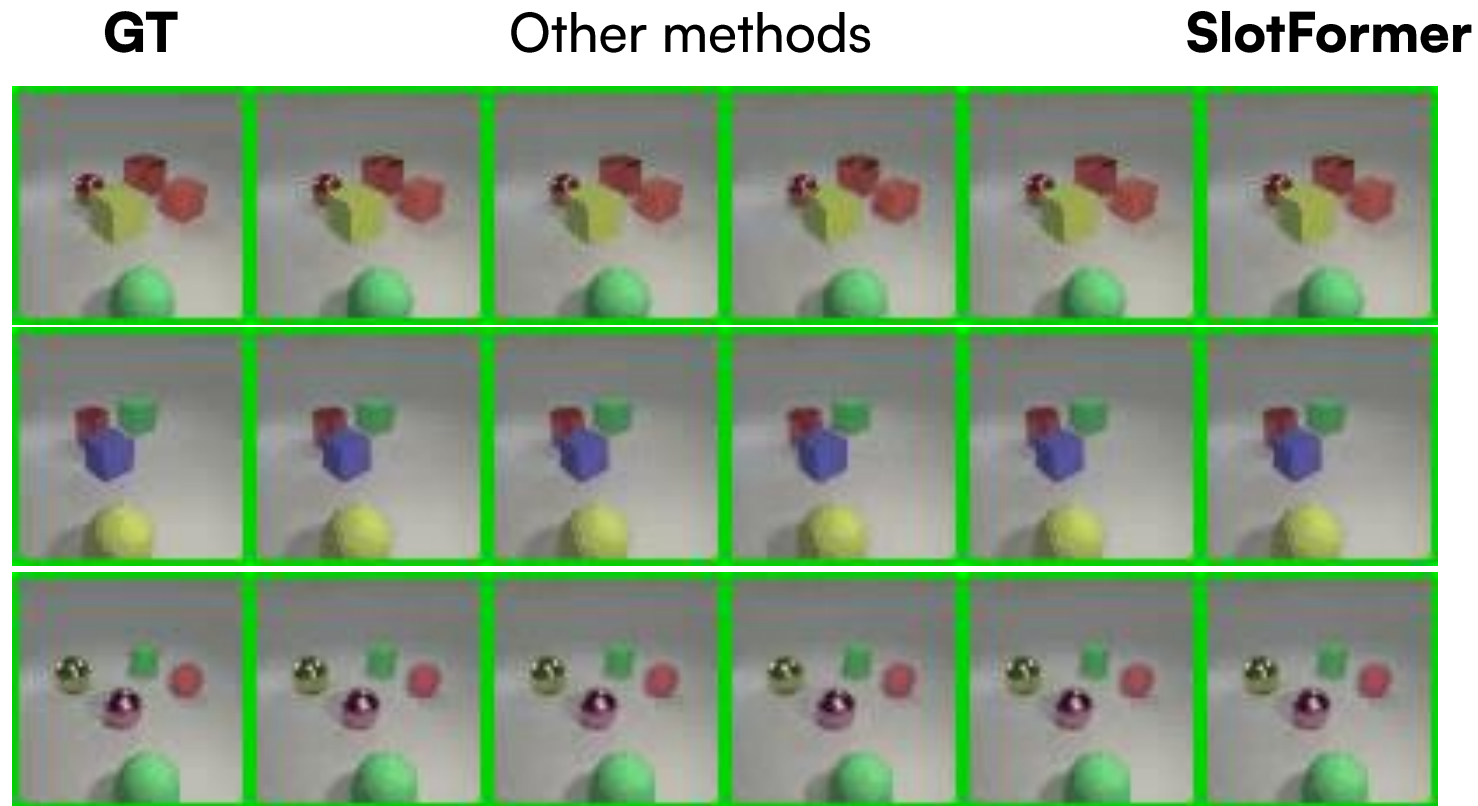
Scaling to “real” data



Some examples: SlotFormer

(Wu, Ziyi, et al, 2022)

Next frame prediction



More examples:

Slot-based generation editing,
temporal slots, audio slots,
etc.



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Other names ▶

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TITLE	CITED BY	YEAR
Neural Assets: 3D-Aware Multi-Object Scene Synthesis with Image Diffusion Models Z Wu, Y Rubanova, R Kabra, DA Hudson, I Gilitschenski, Y Aytar, ... arXiv preprint arXiv:2406.09292	1	2024
Latent Pose Queries for Machine-Learned Image View Synthesis SMM Sajjadi, K Greff, EFR Pot, DC Duckworth, M Lucic, A Mahendran, ... US Patent App. 18/517,190		2024
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DyST: Towards Dynamic Neural Scene Representations on Real-World Videos M Seitzer, S van Steenkiste, T Kipf, K Greff, MSM Sajjadi International Conference on Learning Representations (ICLR)	5	2024
DORSal: Diffusion for Object-centric Representations of Scenes <i>et al.</i> A Jabri, S van Steenkiste, E Hoogeboom, MSM Sajjadi, T Kipf International Conference on Learning Representations (ICLR)	8	2024

Hopes (Dittadi, Andrea, et al, 2021)

- Object-centric representations are useful for downstream tasks;
- One object's distribution shift does not affect others;
- Object-centric models can still relatively robustly separate objects even under global distribution shifts.

=> Compositional Generalization? Data Efficiency?

PROVABLE COMPOSITIONAL GENERALIZATION FOR OBJECT-CENTRIC LEARNING

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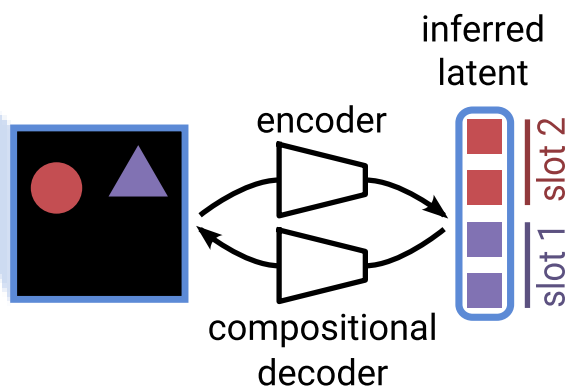
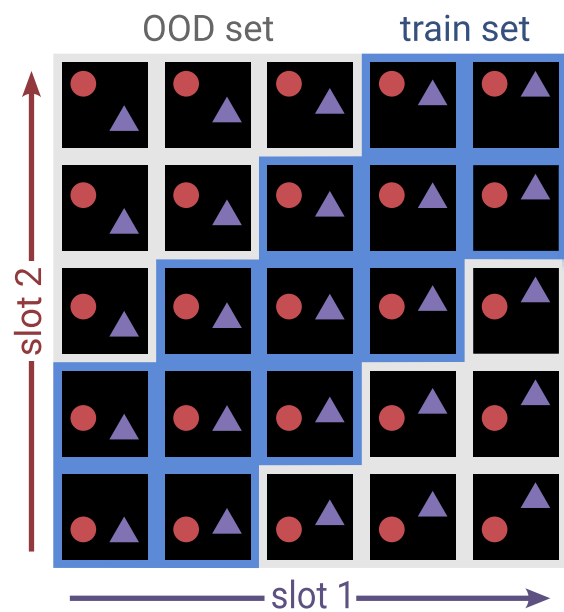
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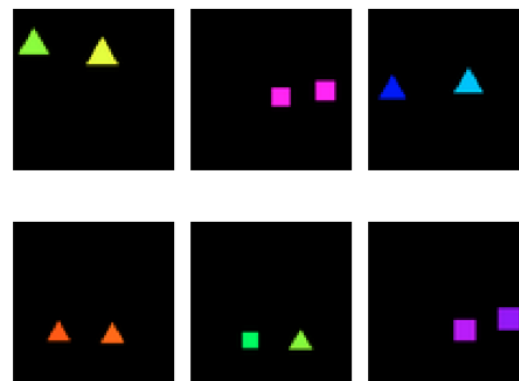
ABSTRACT

Learning representations that generalize to novel compositions of known concepts is crucial for bridging the gap between human and machine perception. One prominent effort is learning object-centric representations, which are widely conjectured to enable compositional generalization. Yet, it remains unclear when this conjecture will be true, as a principled theoretical or empirical understanding of compositional generalization is lacking. In this work, we investigate when compositional generalization is guaranteed for object-centric representations through the lens of identifiability theory. We show that autoencoders that satisfy structural assumptions on the decoder and enforce encoder-decoder consistency will learn object-centric representations that provably generalize compositionally. We validate our theoretical result and highlight the practical relevance of our assumptions through experiments on synthetic image data.

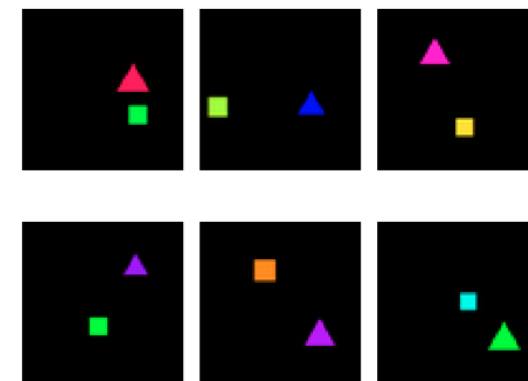
Data & Model



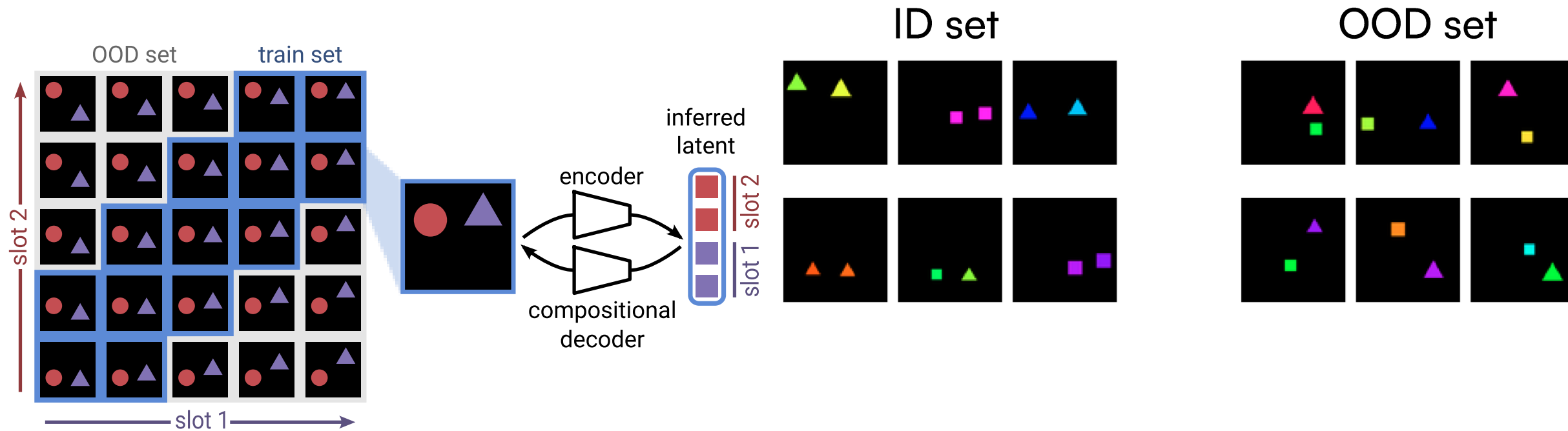
ID set



OOD set



Data & Model



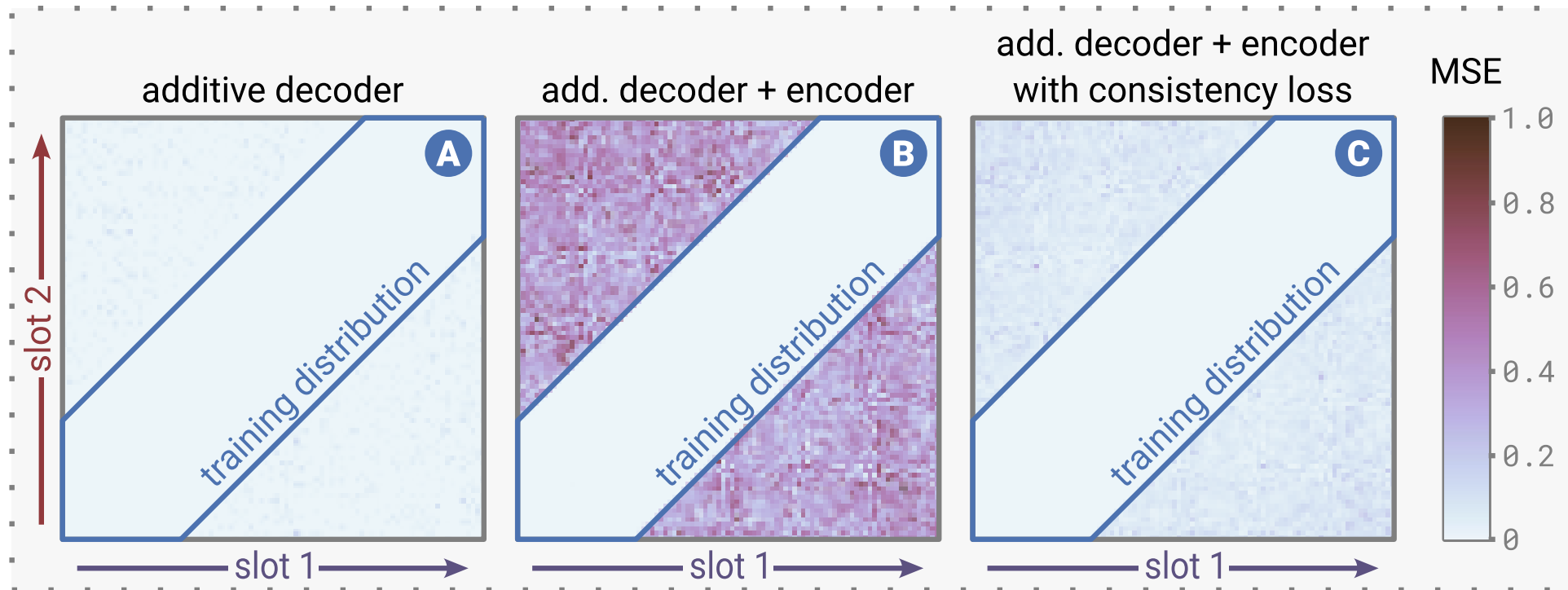
$$g(x) = \hat{z}, \quad \hat{z} \in \mathbb{R}^{KM}$$

Encoder

$$\hat{x} = \sum_{k=1}^K f(\hat{z}_k)$$

Decoder

Encoder's Failure

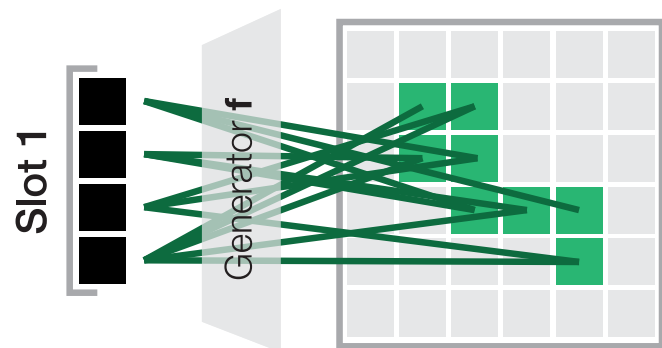


Decoder's Success

- (Brady, Jack, et al, 2023): **Compositional, irreducible and invertable** decoder gives *slot-identifiability*

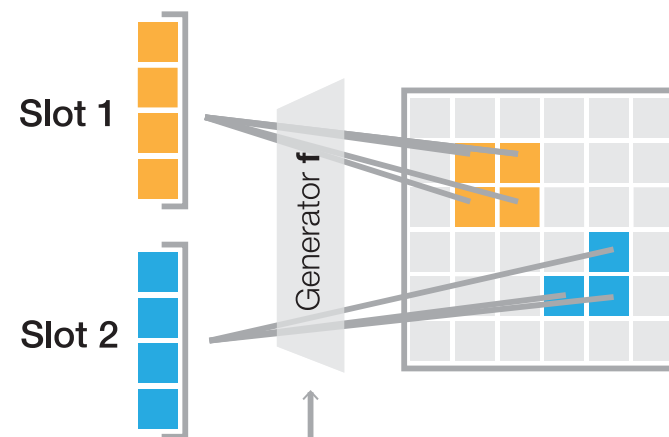
C | Irreducible Mechanism

Information does not decompose across any pixel subsets S & S'

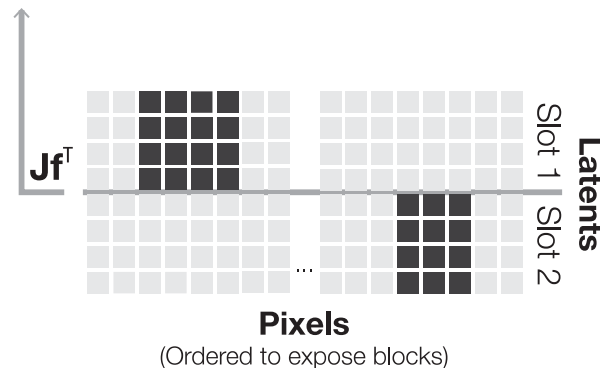


A | Compositional Generator

Two slots never affect the same pixel



The Jacobian of f has **disjoint** slot-wise blocks



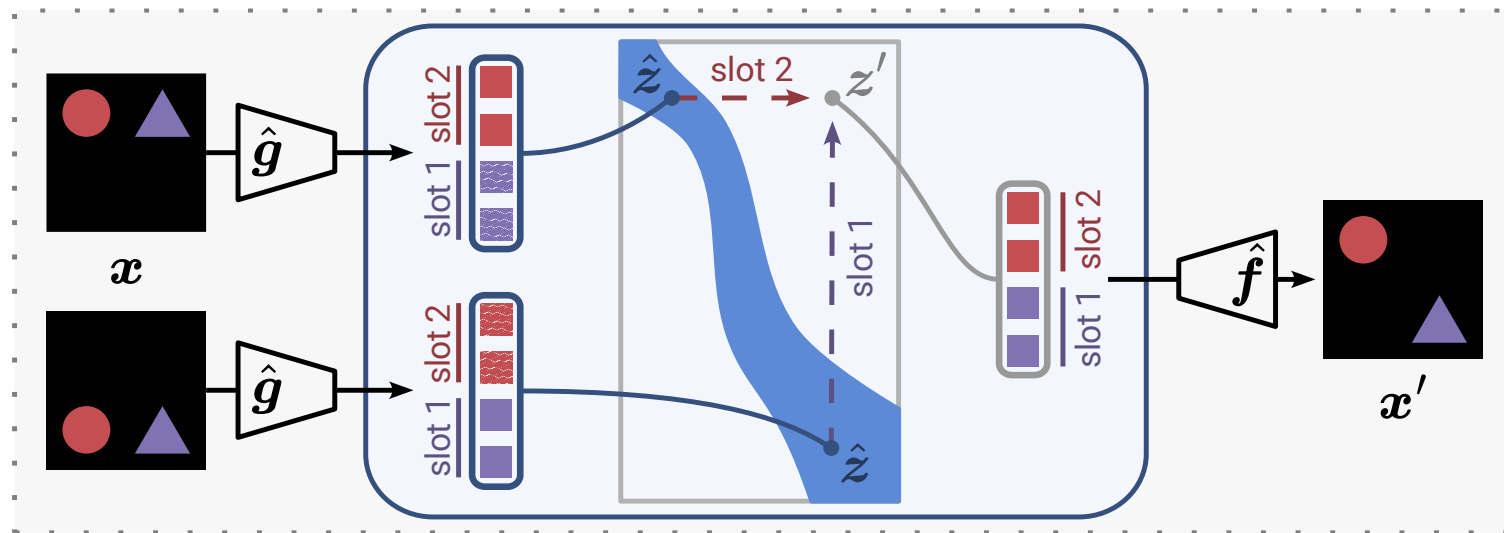
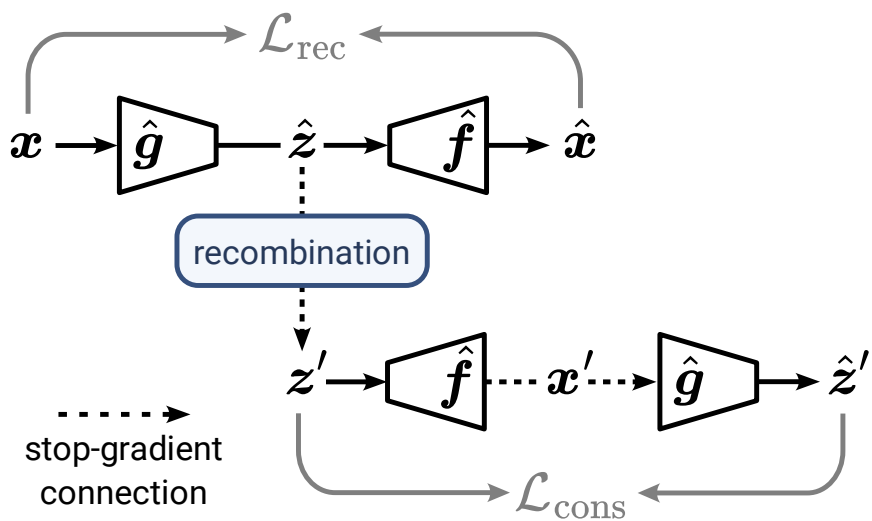
Decoder's Success

- (Brady, Jack, et al, 2023): **Compositional, irreducible** and **invertable** decoder gives *slot-identifiability*
- In Thm. 1 & Thm. 2 we adapted this result, and show that **additive** decoder allows *slot-identifiability* (concurrent to Lachapelle, Sébastien, et al. 2024)

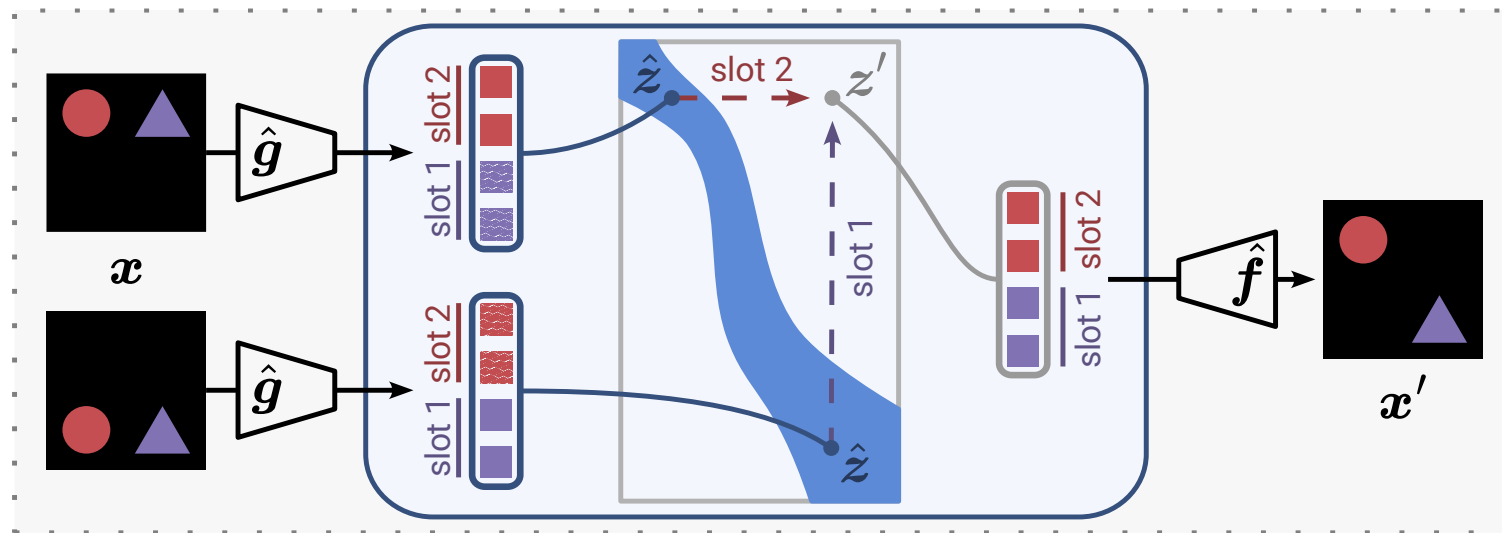
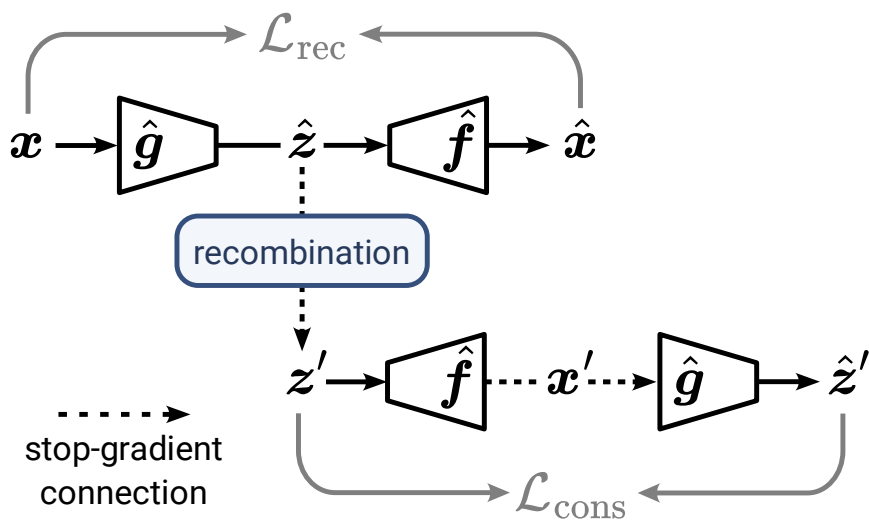
Lachapelle, Sébastien, et al. "Additive decoders for latent variables identification and cartesian-product extrapolation." Advances in Neural Information Processing Systems 36 (2024).

Brady, Jack, et al. "Provably learning object-centric representations." International Conference on Machine Learning. PMLR, 2023.

Aligning Decoder and Encoder

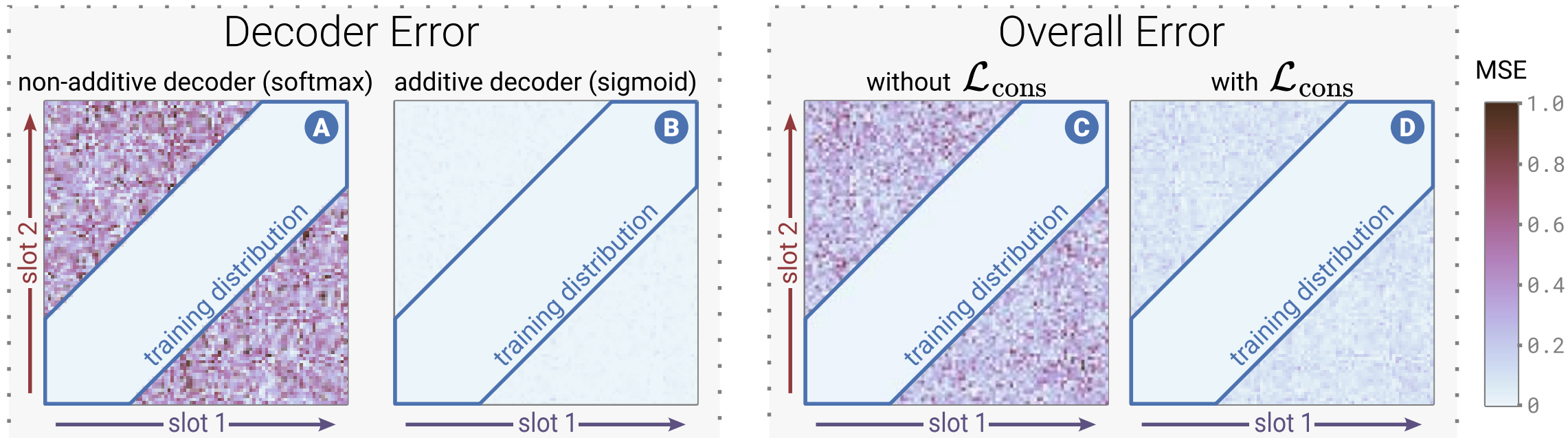


Aligning Decoder and Encoder



In Thm. 3 we show that minimizing both \mathcal{L}_{rec} and $\mathcal{L}_{\text{cons}}$ on ID combinations allows autoencoder to generalize compositionally

Revisiting SlotAttention



Add.	$\mathcal{L}_{\text{cons}}$	Det.	Identifiability R^2_{\uparrow}		Reconstruction R^2_{\uparrow}	
			ID	OOD	ID	OOD
✗	✗	✗	0.99 $\pm 1.7\text{e-}3$	0.81 $\pm 9.0\text{e-}2$	0.99 $\pm 1.0\text{e-}4$	0.71 $\pm 1.9\text{e-}2$
✓	✗	✗	0.99 $\pm 2.3\text{e-}3$	0.83 $\pm 5.4\text{e-}2$	0.99 $\pm 5.8\text{e-}4$	0.72 $\pm 2.1\text{e-}2$
✓	✓	✗	0.99 $\pm 2.9\text{e-}2$	0.92 $\pm 3.4\text{e-}2$	0.99 $\pm 8.3\text{e-}4$	0.79 $\pm 7.2\text{e-}2$
✓	✓	✓	0.99 $\pm 1.9\text{e-}3$	0.94 $\pm 2.2\text{e-}2$	0.99 $\pm 1.9\text{e-}4$	0.92 $\pm 2.1\text{e-}2$

Takeaways

- In object-centric learning compositional generalization is possible theoretically and empirically;
- Current assumptions for provable generalization are too restrictive for real world data;
- Training on synthetic data can lead to a better generalization;
- It is hard to scale consistency loss to more slots. Input/output normalization is crucial.